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# Kinetic studies of the $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$ ion at alkaline conditions using $^{13}\text{C}$ NMR

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## ABSTRACT

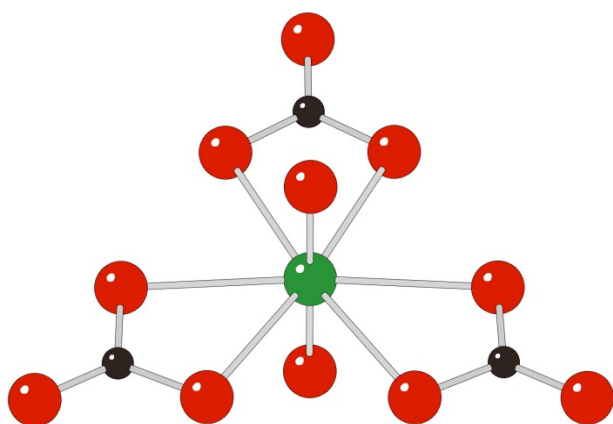
Carbonate ligand-exchange rates on the  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  ion were determined using a saturation-transfer  $^{13}\text{C}$  nuclear-magnetic-resonance (NMR) pulse sequence in the pH range of  $8.1 \leq \text{pH} \leq 10.5$ . Over the pH range  $9.3 \leq \text{pH} \leq 10.5$ , which compares most directly with previous work of Stout et al.<sup>1</sup>, we find an average rate, activation energy, enthalpy, and entropy of  $k_{\text{ex}}^{298} = 40.6(\pm 4.3) \text{ s}^{-1}$ ,  $E_a = 45.1(\pm 3.8) \text{ kJ mol}^{-1}$ ;  $\Delta H^\ddagger = 42.6(\pm 3.8) \text{ kJ mol}^{-1}$ ,  $\Delta S^\ddagger = -72(\pm 13) \text{ J mol}^{-1} \text{ K}^{-1}$  respectively. These activation parameters are similar to the Stout et al. results of  $E_a = 43.8(\pm 6.3) \text{ kJ mol}^{-1}$ ,  $\Delta H^\ddagger = 41.8(\pm 6.3) \text{ kJ mol}^{-1}$ ,  $\Delta S^\ddagger = -65(\pm 21) \text{ J mol}^{-1} \text{ K}^{-1}$ , at pH value of 9.4<sup>1</sup>. However, their room-temperature rate at pH 9.4,  $k_{\text{ex}}^{298} = 143(\pm 1.0) \text{ s}^{-1}$ , is  $\sim 3$  times faster than what we experimentally determined at pH 9.3:  $k_{\text{ex}}^{298} = 45.4(\pm 5.3) \text{ s}^{-1}$ . Our rates for  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  are also faster by a factor of  $\sim 3$  relative to the isoelectronic  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$  as reported by Brucher et al.<sup>2</sup> of  $k_{\text{ex}}^{298} = 13(\pm 3) \text{ s}^{-1}$ . Consistent with results for the  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$  ion, we find evidence for a proton-enhanced pathways for carbonate exchange for the  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  ion at  $\text{pH} < 9.0$ .

## INTRODUCTION

The future of the nuclear industry depends on a defensible understanding of environmental risks associated with nuclear accidents and nuclear waste storage. Assessing the safety of storage facilities relies on accurate models that predict how actinide species migrate through engineered barriers, soil, and groundwater<sup>3</sup>. However, modeling the transport of these species, particularly the actinide elements, is difficult due to multiple oxidation states that may be present in natural waters, the presence of complexing ligands, their potential to form colloids, and their interactions with mineral surfaces<sup>4</sup>. Reactive-transport models rely on data from experiments to accurately address these many interactions, but the lack of such data remains a barrier to building effective actinide reactive-transport models. Particularly valuable are the highly constrained experiments that describe simple molecular processes, like ligand-exchanges, where the reaction scale can be compared directly with *ab initio* and molecular-dynamic simulations.

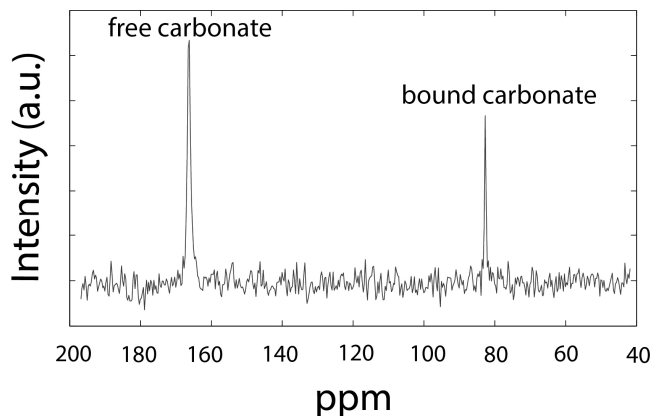
The neptunium actinide is particularly troublesome due to its long half-life,  $2.14 \times 10^6$  years, its high solubility at conditions typical of natural groundwaters, and its high toxicity. Neptunium in water can exist in oxidation states ranging from (III) to (VII), with the pentavalent state being the most stable. While in the pentavalent and hexavalent states, neptunium exists with opposing axial 'yl' oxygens having a high bond order and equatorial bound waters, as  $\text{NpO}_2^+$  and  $\text{NpO}_2^{2+}$ , respectively. There has been an emphasis on studying the chemical behavior of neptunium in acidic to neutral conditions even though nuclear waste is likely to be stored in an alkaline environment<sup>8-15</sup>. Although the hydrated neptunium species are less soluble at high pH values, common ligands in the environment, such as carbonate, can bind to neptunium under these conditions and substantially increase its solubility<sup>16</sup>.

Previous thermodynamic studies showed that, in the presence of carbonate and bicarbonate, Np(VI) dominantly exists as the monomeric species  $[\text{NpO}_2(\text{CO}_3)]^0$ ,  $[\text{NpO}_2(\text{CO}_3)_2]^{2-}$ , and  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$ , or as the trimeric species  $[(\text{NpO}_2)_3(\text{CO}_3)_6]^{6-}$ <sup>17-23</sup>. Even at pH values where bicarbonate is at higher concentration than carbonate, monodentate bicarbonate complexes are not found<sup>18</sup>. At high ionic strength and pH less than 7.7, the trimeric triscarbonato neptunyl species dominates, while at higher pH conditions and ratios of  $\text{NpO}_2^{2+}:\text{CO}_3^{2-}$  of 1:3 or greater, the monomeric  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  species (**Figure 1**) dominates.



**Figure 1.** The  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  ion. Np(VI) is green, oxygen red, and carbon black. The two opposing “yl” oxygens are in the axial positions, while the three, bidentate carbonate ligands are in the equatorial plane.

Tait et al. used  $^{13}\text{C}$  NMR spectroscopy to determine the speciation of the Np(VI)-carbonate solutions<sup>24</sup>. The monomeric  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  species exhibits a single  $^{13}\text{C}$ -NMR peak attributable to bound carbonate with a chemical shift around 76 ppm (**Figure 2**), while the trimeric species exhibits two peaks at approximately 8 and -89 ppm, again corresponding to bound ligands.



**Figure 2.**  $^{13}\text{C}$  NMR spectra of Sample 5 (See **Table 1**). The peaks are well separated, indicating slow exchange on the NMR time scale.

In this paper we extend the work by Stout et al.<sup>1</sup> by revisiting the kinetic behavior of carbonate ligand exchange on the  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  ion. Stout et al. reported activation parameters for two pH conditions. These parameters are listed in **Table 1**. They report the rate of ligand exchange of  $k_{ex}^{298} = 143(\pm 1.0) \text{ s}^{-1}$  and  $64.7(\pm 3.3) \text{ s}^{-1}$  at a pH values of 9.4 and 10.0, respectively<sup>1</sup>. They employed relatively high concentrations of metal: [Total Np(VI) = 0.10 M] and ligand [Total carbonate = 0.70 M] and ionic strength of 1.0 M.

**Table 1** Activation parameters reported by Stout et al.<sup>1</sup> at 25 °C.

pH	$E_a$ (kJ mol <sup>-1</sup> )	$k_{ex}^{298}$ (s <sup>-1</sup> )	$\Delta H^\ddagger$ (kJ mol <sup>-1</sup> )	$\Delta S^\ddagger$ (J mol <sup>-1</sup> K <sup>-1</sup> )
9.4	$43.8 \pm 6.3$	$143 \pm 1.0$	$41.8 \pm 6.3$	$-65 \pm 21$
10.0	$43.8 \pm 6.7$	$64.7 \pm 3.3$	$41.8 \pm 6.6$	$-71 \pm 22$

With these solutions, they used the line-broadening method described by Swift and Connick<sup>25</sup> in which NMR peak width and chemical shift differences are assigned to the free carbonate ion with, and without, the paramagnetic metal, in this case Np(VI). This method is most commonly used when the signal for the reactive species is unobservable due to paramagnetic broadening and fast exchange, such as when  $^{17}\text{O}$  exists in the hydration shell of

paramagnetic monomer ions (eg.  $[\text{Fe}(\text{OH}_2)_6]^{3+}$ ,<sup>19, 26, 27</sup>) and exchanges with bulk water. The rate of exchange from the solvation shell is inferred from the effect on the bulk solution  $^{17}\text{O}$  peak. The  $^{13}\text{C}$  NMR spectra for the  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  ion carbonate solution contain two well-separated peaks, one for the free carbonate (166 ppm) and one for the bound carbonate (84 ppm), which is consistent with the spectra reported by Stout et al. and Tait et al.<sup>1,24</sup> (**Figure 2**). The observable  $^{13}\text{C}$  NMR signal assignable to the bound-carbonate ligand indicates that ligand exchange between bound and free carbonate is slow on the NMR time scale.

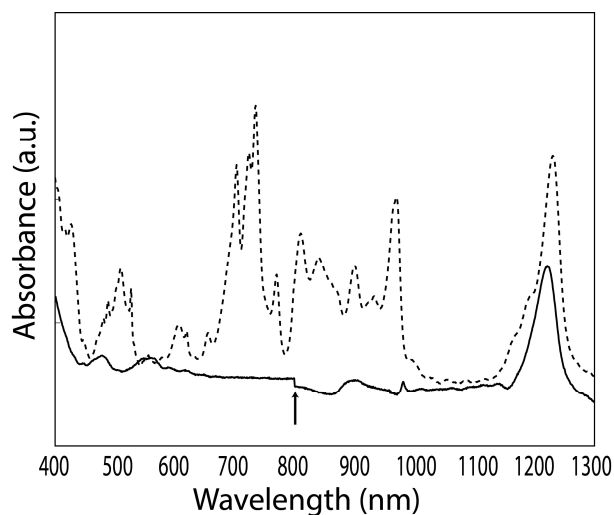
We found the free- and bound-carbonate peaks to be sufficiently well separated to permit selective-excitation experiments that yield kinetic information about the rates of substitution directly, which is a second means of estimating rate coefficients that is independent of assumptions of the Swift-Connick method. This method also allows us to conduct experiments at total Np(VI) and carbonate concentrations that are lower than those of Stout et al., thus complementing their work. The chemical-shift difference, however, is small enough (~12 kHz) that we could not selectively excite a peak using a conventional  $180^\circ$  long pulse. Instead, we adapted an approach we previously employed to study the  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$  species, which also has close chemical shifts<sup>28</sup>. We conducted  $^{13}\text{C}$  NMR saturation-transfer experiments on the  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  ion, which is isoelectronic to the  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$  species, and determined carbonate ligand-exchange parameters at a larger pH range than the experiments described in Stout's paper.

## EXPERIMENTAL

*Caution.*  $^{237}\text{Np}$  is a radioactive isotope and an  $\alpha$ -emitter. It should be handled in dedicated facilities with appropriate equipment for radioactive materials to avoid health risks caused by radiation exposure.

Sample Preparation. A stock solution of Np(V) was prepared using the method of Snow et al.<sup>29</sup>. The solution was heated to dryness and redissolved into a solution prepared from water of 18-Megaohm resistance that was made slightly acidic with dilute perchloric acid. The neptunium concentration of the solution was established by liquid-scintillation counting. The resulting solution was a mix of oxidation states, which we adjusted using a Wavenow USB potentiostat. The potentiostat had a three-electrode array with a Pt-wire working electrode that was separated from a Pt-wire counter electrode by a Vycor frit. The reference electrode was an Ag/AgCl microelectrode.

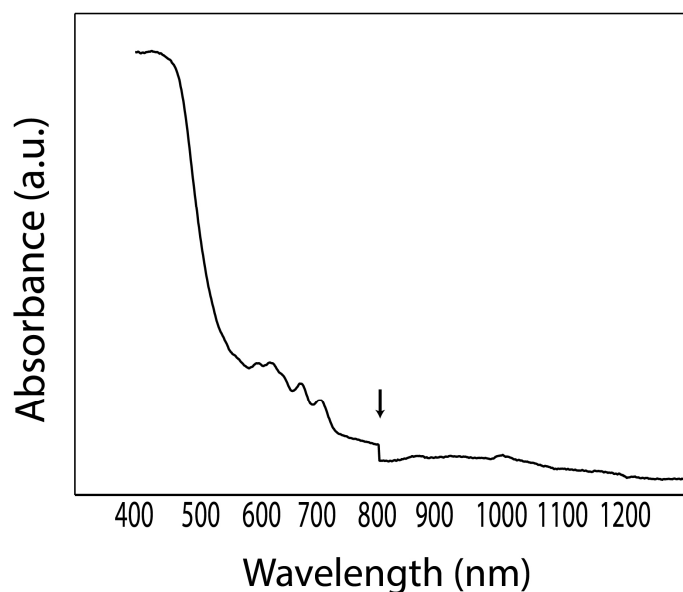
The solutions were kept under electrolysis at +1.35 V<sup>30-32</sup> until the characteristic UV-Vis-NIR peaks of Np(IV) and Np(V) at 960 and 980 nm, respectively, disappeared and the characteristic peak for Np(VI), 1230 nm, became prominent (**Figure 3**). Once the solution was electrochemically treated to convert neptunium to Np(VI), the solvent was evaporated away and the solid was then redissolved in sodium-carbonate solution that had been enriched with <sup>13</sup>C (98%). Aliquots of this stock solution were used to make subsamples for experiment containing 5-15 mM Np(VI) and 20-60 mM carbonate, ensuring that the carbonate concentration was at least four times that of the Np(VI) and that the tris-carbonato species formed. The pH was measured using an Ingold microelectrode, and was adjusted using dilute perchloric acid or sodium hydroxide. Once the sodium carbonate was added, the UV-Vis-NIR of the solution was taken again, **Figure 4**, to confirm the formation of monomeric  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$ ; these results were consistent with the spectra reported by Ikeda-Ohno et al<sup>17</sup>. The solution compositions that were employed in experiments are reported in **Table 2**.



**Figure 3.** UV-Vis-NIR spectra of 10 mM neptunium stock solution before and after electrochemistry. The peak characteristic for Np(VI) is at 1230 nm. The dashed line shows the UV-Vis-NIR spectrum before electrolysis when Np(IV), Np(V), and Np(VI) are present. The solid line is after electrolysis when the solution is nearly pure in Np(VI). The discontinuity in adsorption at 800 nm, indicated by the vertical arrow, is caused by mechanical changes in the light source in the instrument and does not indicate a real chemical change.

**Table 2.** Composition of aqueous solutions used for saturation-transfer experiments.

	[Neptunium] (mM)	[Carbonate] (mM)	pH	Ionic Strength (M)
Sample 1	12	54.5	10.5	0.21
Sample 2	12	54.5	8.8	0.21
Sample 3	10	52	9.3	0.21
Sample 4	8	32	10.1	0.15
Sample 5	7.2	50	10.1	0.20
Sample 6	10	50	8.1	0.18
Sample 7	10	50	8.55	0.18



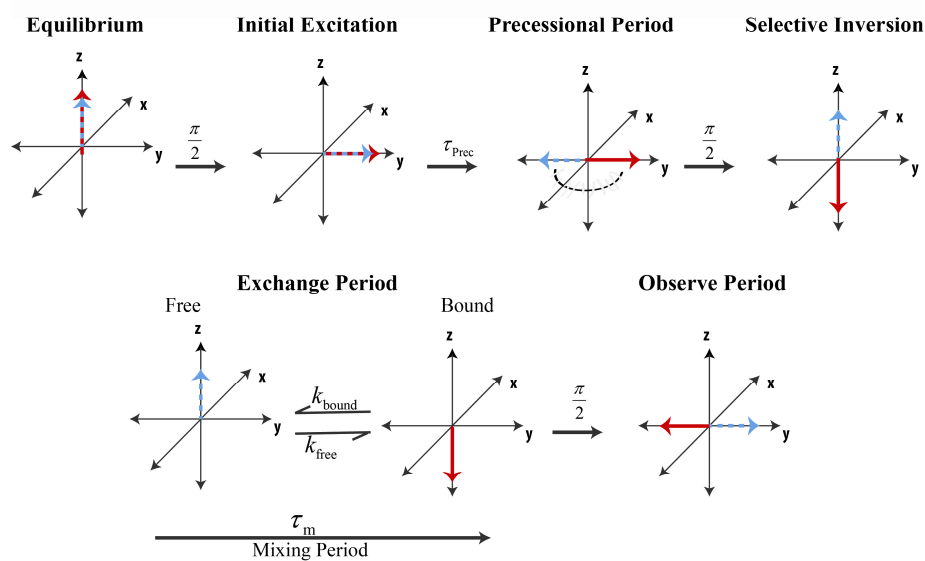
**Figure 4.** UV-Vis-NIR of a solution after purification and after sodium carbonate had been added (Sample 5; see **Table 2**). The absorption between 400-700 nm, and the minor peaks at 550-750 nm, are characteristic of Np(VI). The lack of absorption around 800 nm indicates the absence of Np(V)-carbonate species. The discontinuity in adsorption at 800 nm, indicated by the vertical arrow, is caused by mechanical changes in the light source.

All experiments were performed within one week of solution preparation and we found no evidence of sample degradation during this time. No precipitate formed in any samples for which rate data are reported, although samples at  $\text{pH} \geq 10.6$  formed a precipitate within two hours of preparation. The pH values of the samples were measured before and after the NMR experiments to verify that changes in temperature did not alter the pH of the solution via hydrolysis; in all cases the pH varied no more than 0.1 pH units. The pH of the sample solutions were prevented from dropping to  $\text{pH} < 8$  to ensure that no trimeric species formed. Similarly, NMR spectra were collected on each sample to confirm that no trimeric complex formed, which

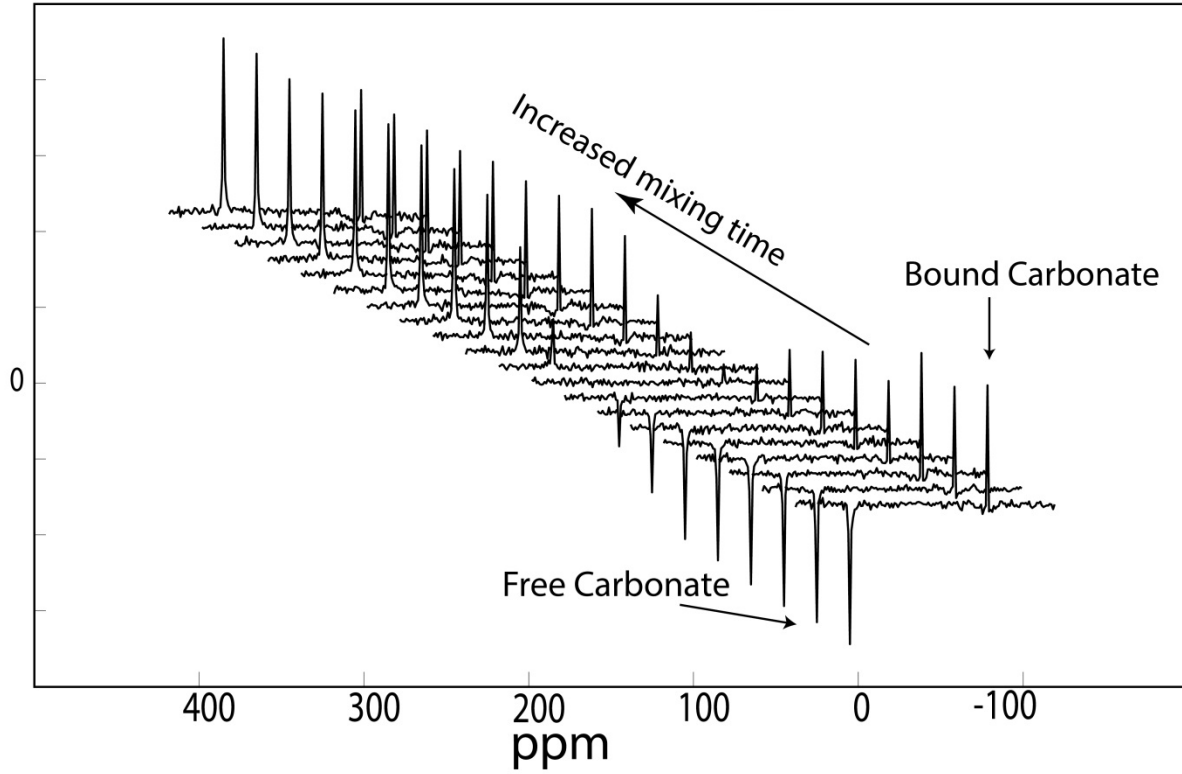
would have been evident as  $^{13}\text{C}$  NMR peaks at 8 and -89 ppm. The only Np(VI) species detectable in our solutions was the monomeric  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  complex.

*NMR Spectroscopy.* Nuclear magnetic resonance (NMR) measurements were carried out using a Bruker Avance DRX 600 MHz (14.1 T,  $^{13}\text{C}$ : 151.01 MHz) spectrometer equipped with a 5-mm broadband probe. Because of the inherent danger using Np, the sample was doubly contained in the NMR using Wilmad-Labglass Pyrex coaxial system for toxic samples. The  $^{13}\text{C}$  spectra were acquired using the previously described pulse sequence<sup>28</sup> consisting of three  $90^\circ$  hard-pulse excitations using 11.26  $\mu\text{s}$   $90^\circ$  pulse with a recycle delay of 0.5 s to ensure complete relaxation. A schematic of the pulse sequence and magnetization movement is shown in **Figure 5**.

In this pulse program, the magnetization of both sites is initially tipped into the  $xy$ -plane using a hard  $90^\circ$  pulse on the  $x$ -axis. A calculated delay time,  $\tau_{\text{prec}}$ , is allowed to pass so magnetization that is not on resonance will rotate in the  $xy$ -plane until the two signals are exactly  $180^\circ$  out of phase. Then, a hard  $90^\circ$  pulse is then reapplied along the  $x$ -axis to flip both signals, but one is inverted. A variable-time delay is then applied to allow mixing of the two magnetizations until a third hard  $90^\circ$  pulse is applied along the  $x$ -axis to tip both magnetizations into the  $xy$ -plane for detection. As the magnetization is transferred between the two sites, the intensity of the inverted peak will increase and that of the positive site will decrease (**Figure 6**) because of chemical exchange.



**Figure 5.** The saturation-transfer pulse sequence used to separate the signals from two closely spaced complexes. The solid arrow represents the magnetization of the species that is put on resonance, and the dotted arrow represents the magnetization of the species not on resonance<sup>29</sup>. For our experiments, the sweep width was set to 1000 ppm (150.8 kHz) and we collected between 100-200 scans, depending on the particular solution, in order to establish an adequate signal-to-noise ratio. Temperature was controlled using the Bruker Avance temperature controller. The variation in the temperature reported by the Bruker system and the temperature inside the NMR sample tube was calibrated using neat ethylene glycol<sup>33, 34</sup>, and the uncertainty in the temperature was determined to be  $\pm 0.2$  K.



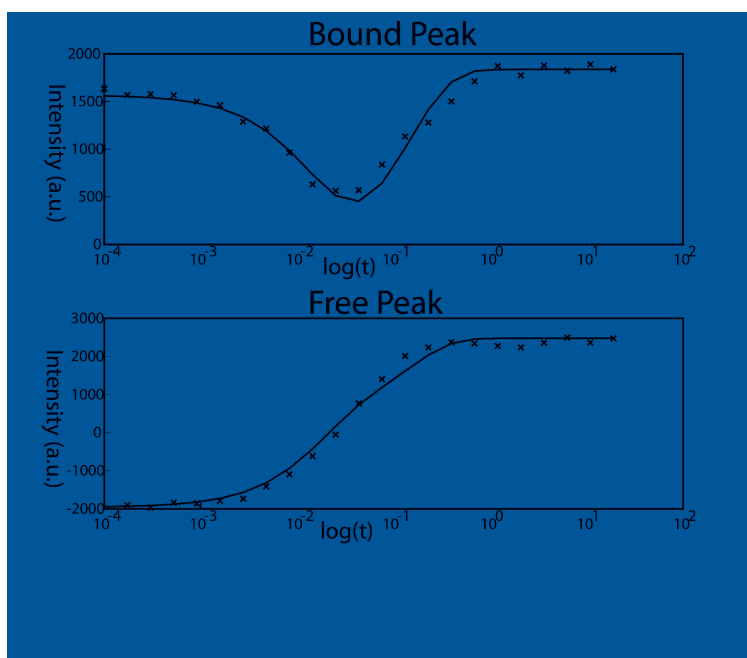
**Figure 6.**  $^{13}\text{C}$  NMR spectra of a saturation-transfer experiment Sample 5 (see **Table 1**). The free carbonate peak is inverted and the magnetization relaxes back along the z-axis after an adjustable mixing time.

The system is modeled using the Bloch equations with the McConnell formalism for exchange (**Eqn 1** and **2**).

$$\frac{dM_{z,\text{free}}(t)}{dt} = -\frac{[M_{z,\text{free}}(t) - M_{z,\text{free}}^{\text{eq}}]}{T_{1,\text{free}}} + k_{\text{bound}}M_{z,\text{bound}}(t) - k_{\text{free}}M_{z,\text{free}}(t) \quad (1)$$

$$\frac{dM_{z,\text{bound}}(t)}{dt} = -\frac{[M_{z,\text{bound}}(t) - M_{z,\text{bound}}^{\text{eq}}]}{T_{1,\text{bound}}} + k_{\text{free}}M_{z,\text{free}}(t) - k_{\text{bound}}M_{z,\text{bound}}(t) \quad (2)$$

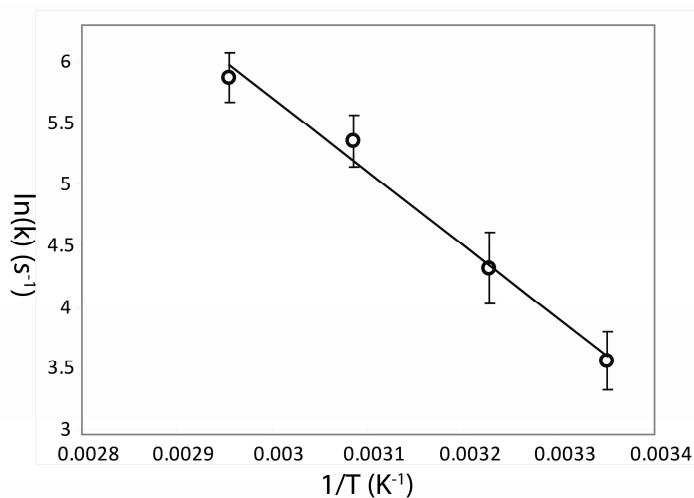
where:  $M_{z,i}(t)$  and  $M_{z,i}^{eq}$  is the magnitude of the z-magnetization for species  $i$  as a function of time and equilibration, respectively,  $T_{1,i}$  is the longitudinal relaxation rate of species  $i$ , and  $k_i$  is the rate constant for the ligand exchange between the bound and free state. Due to the Law of Detailed Balance,  $k_{free} = k_{bound}$ . Each peak was phased independently and then fit to a Lorentzian function and the amplitude value for each peak was organized according to mixing time. The rate of exchange was obtained by fitting these data to the above equations (**Figure 7**, see also the Supplemental Information).



**Figure 7.** Magnetization-transfer experiment of  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  (aq) for Sample 5 (7.2 mM neptunyl at 10.1 pH). The x's represent measurements and the solid line is the best fit to these data.

Numerical solutions were achieved using MATLAB using a least-squares fitting routine, employing a Levenberg-Marquardt algorithm that solves the equations simultaneously. The rates

thus determined were plotted as a function of  $1/T$  in order to derive activation parameters ( $k_{ex}^{298}$ ,  $\Delta H^\ddagger$ , and  $\Delta S^\ddagger$ ) via Arrhenius equation (**Figure 8**, see also the Supplemental Information). A Monte Carlo algorithm was used to assign uncertainties to the activation parameters. We created an array of 1000 randomly generated numbers having a mean value of the regressed rate and a standard deviation of the regression standard deviation for each temperature. A single point of each temperature array was randomly chosen and linearly regressed to calculate the slope and intercept. This single-point process was repeated 1000 times. The mean and standard deviation of these aggregated slopes and intercept values were then used to estimate the uncertainties in all activation parameters. We report these uncertainties in **Table 3**.



**Figure 8.** Plot of  $\ln(k)$  vs.  $1/T$  for the exchange of carbonate in  $[NpO_2(CO_3)_3]^{4-}$  for Sample 1 (12mM  $[NpO_2(CO_3)_3]^{4-}$  solution at pH 10.5). Experimental results are shown by the dots and the solid line is the best fit.

Banyai et al. extended the study of Brucher et al. who reported a first-order exchange reaction for the  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$  ion<sup>2,37</sup>. First-order kinetics were also reported for carbonate exchange of  $[\text{UO}_2(\text{CO}_3)_3]^{5-}$  and  $[\text{PuO}_2(\text{CO}_3)_3]^{4-}$  in solutions at high pH<sup>19,35</sup>. As we show (below), the first-order model adequately accounts for the experimental results<sup>1</sup> and is implicit in Eqns. (1) and (2).

## RESULTS

Our data (**Table 3**) agree well with Stout et al.<sup>1</sup>, who reported rates for only two samples at pH 9.4 and 10.0 and at different solution conditions. A proton-enhanced pathway at pH<9 is apparently present (see below), making the results consistent with previous work on the isoelectronic and isostructural  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$  molecule. For samples between  $9.3 \leq \text{pH} \leq 10.5$ , there appears to be no strong correlation between the exchange rates and activation enthalpy, pH, or the concentration of Np(VI). If we reject the idea of a pH dependence of the rate for these samples, the average activation parameters at  $9.3 \leq \text{pH} \leq 10.5$  are found to be:  $k_{ex}^{298} = 40.6(\pm 4.3) \text{ s}^{-1}$ ,  $E_a = 45.1(\pm 3.8) \text{ kJ mol}^{-1}$ ,  $\Delta H^\ddagger = 42.6(\pm 3.8) \text{ kJ mol}^{-1}$ ,  $\Delta S^\ddagger = -72 (\pm 13) \text{ J mol}^{-1} \text{ K}^{-1}$ . The precision of the measurements can be gauged by comparing the results for Sample 4 and 5, which have similar concentrations and pH values. The difference in the  $k_{ex}^{298}$  is  $\sim 7 \text{ s}^{-1}$ , and the difference in the enthalpy is  $\sim 7 \text{ kJ mol}^{-1}$ , which is close to the estimated uncertainties for a single measurement. These are also similar to the uncertainty values reported by Stout et al.<sup>1</sup> of  $\pm 3.3 \text{ s}^{-1}$  and  $6.7 \text{ kJ mol}^{-1}$  for rate and enthalpy, respectively. Buffers were avoided in our study because of the possibility that they alter the speciation or kinetics. The similarity of our data to Stout et al.<sup>1</sup> indicates that the effects are negligible.

**Table 3** Experimental activation parameters.

	$[\text{NpO}_2(\text{CO}_3)_3]^{4-}$ (mM)	pH	$E_a$ (kJ mol <sup>-1</sup> )	$k_{ex}^{298}$ (s <sup>-1</sup> )	$\Delta H^\ddagger$ (kJ mol <sup>-1</sup> )	$\Delta S^\ddagger$ (J mol <sup>-1</sup> K <sup>-1</sup> )
Sample 1	12	10.5	49.8 ± 9.2	35.2 ± 5.4	47.3 ± 7.2	-57 ± 24
Sample 2	12	8.8	44.9 ± 11	53.1 ± 4.6	42.3 ± 8.7	-63 ± 17
Sample 3	10	9.3	44.1 ± 9.4	45.4 ± 5.3	41.6 ± 6.9	-74 ± 26
Sample 4	8	10.1	39.5 ± 11	37.5 ± 6.5	37.0 ± 8.9	-91 ± 30
Sample 5	7.2	10.1	46.8 ± 10	44.1 ± 5.9	44.3 ± 8.0	-64 ± 27
Sample 6	10	8.1	28.1 ± 12	157 ± 6.2	25.7 ± 9.1	-117 ± 30
Sample 7	10	8.55	50.0 ± 8.9	93.3 ± 5.8	47.5 ± 6.4	-48 ± 22

## DISCUSSION

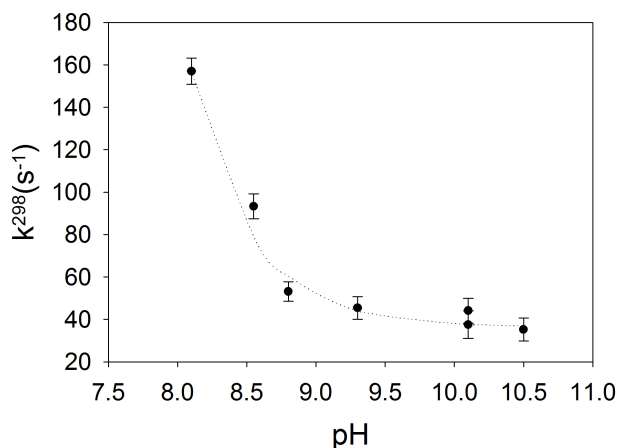
In the pH range 9.3 < pH < 10.5, where there is no evident proton enhancement, our data for carbonate exchange, expressed as  $k_{ex}^{298}$ , are consistent with, but lower than, those proposed by Stout et al<sup>1</sup> by about a factor of 1.5-3.5. They reported rates of 143(±1.0) s<sup>-1</sup> and 64.7(±3.3) s<sup>-1</sup> for pH 9.4 and 10.0, respectively. We determined an average ligand exchange rate of 40.6(±4.3) s<sup>-1</sup> at 9.3 ≤ pH ≤ 10.5. As we mentioned above, the rates determined by Stout et al<sup>1</sup> were obtained using the Swift-Connick<sup>25</sup> formalism which measures the broadening in the spectral line width as a result of the ligand exchange, while the rates we report were determined using the saturation-transfer technique, which directly measures the rate of magnetization exchange between the bound and free carbonate. Szabo and Grenthe<sup>36</sup> demonstrated that saturation-transfer experiments gave more accurate rate values than the line-broadening technique when the exchange is slow on the NMR time scale, resulting into two well defined peaks, as is the case for the  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  system.

Banyai et al. determined that there are two pathways of carbonate ligand exchange on the  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$  ion<sup>37</sup>. The first pathway dominates at higher pH, is independent of pH, and is controlled by the unenhanced dissociation of the carbonate ligand from the complex, for which at pH > 8.7, they report,  $k_{ex}^{298} = 13(\pm 3) \text{ s}^{-1}$ , and  $\Delta H^\ddagger$  and  $\Delta S^\ddagger$  of  $82(\pm 11) \text{ kJ mol}^{-1}$  and  $50(\pm 30) \text{ J mol}^{-1} \text{ K}^{-1}$ , respectively<sup>37</sup>. The second pathway depends on proton concentration, for which at pH < 8.6, they reported  $k_{ex}^{298} = 2.32(\pm 0.03) \times 10^9 \text{ s}^{-1} \text{ M}^{-1}$ . They calculated this rate using the following equation (**Eqn. 3**):

$$k_2 = \frac{(k_{obs} - k_1)}{[\text{H}^+]} \quad (3)$$

We conducted experiments at pH < 9 specifically to search for evidence of a proton-enhanced pathway in the  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  complex. Using the values we obtained for the experiments at pH = 9.3 and pH = 8.8 as indicated an unenhanced pathway, the presence of a proton-enhanced pathway would not be evident unless the rate coefficient,  $k_2$ , were greater than  $1 \times 10^{10} \text{ s}^{-1} \text{ M}^{-1}$ . Furthermore, one anticipates that the experimental activation enthalpy would be smaller to reflect a contribution from the enthalpy of protonation. Both of these phenomenon should be observed as the proton-enhanced pathway becomes evident in the raw experimental data. For samples 6 (pH = 8.1) and 7 (pH = 8.55) we calculate  $k_2 = 1.9 \times 10^{10} \text{ s}^{-1} \text{ M}^{-1}$  and  $1.5 \times 10^{10} \text{ s}^{-1} \text{ M}^{-1}$ , respectively, from Eqn. (3). For both samples, the experimental activation energies (and enthalpies) are significantly smaller than the values at pH > 9. The values of  $\Delta H^\ddagger$  for all samples pH  $\geq 8.55$  are  $43.3(\pm 4) \text{ kJ mol}^{-1}$ . The values for Samples 2 and 7 are indistinguishable from this average. However, our lowest pH sample, Sample 6, exhibited  $\Delta H^\ddagger = 25.7(\pm 9) \text{ kJ mol}^{-1}$ , which is significantly distinct from the higher pH results. We therefore conclude that there is a proton-enhanced pathway for exchange of the carbonate, as Banyai et al.<sup>37</sup> observed for  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$

complex, and that it is evident only at pH<8.6. The contribution of the proton-enhanced pathway is evident in **Figure 9**.



**Figure 9:** The experimental rate data plotted from Table 2 as a function of solution pH. The dotted line is the approximate location of a least-squares fit of Eqn. (3) to the data, yielding  $k_1=36.3 \text{ s}^{-1}$  and  $k_2=1.23 \cdot 10^{10} \text{ M}^{-1} \text{ s}^{-1}$ , using  $\gamma_{H^+}=0.81$  to convert proton activities to concentrations.

There is understandable disagreement about the mechanisms of the carbonate ligand-exchange reaction, which must be at least a two-step process. Free carbonate (or bicarbonate) must associate with the ion and either exploit or induce a ring-opening of an existing ligated bidentate carbonate. Once the ring opens, the incoming carbonate could bond via a unidentate oxygen and collapse into a ring when the leaving carbonate is gone.

What is not fully clear is whether there exists an intermediate state of aquated Np(VI) between the incoming and outgoing carbonates. Elucidation of such detail is difficult because the evidence to constrain it consists of experimental entropies, which themselves must be composite parameters. Carbonate exchange in :  $[UO_2(CO_3)_3]^{4-}$ ,  $[UO_2(CO_3)_3]^{5-}$ ,  $[PuO_2(CO_3)_3]^{4-}$

are reported as dissociative<sup>2, 28, 35</sup> while, Stout et al., as do we, reported negative activation entropies for both the U(VI) species the Np(VI) species<sup>1</sup>. Stout et al used these negative entropies to suggest a more associative mechanism between the complex and the incoming carbonate ligand. To extend their work, we recently measured apparent activation volumes for both the proton-enhanced and pH-independent pathways using high-pressure NMR<sup>28</sup>. The net activation volumes for the  $[\text{UO}_2(\text{CO}_3)_3]^{4-}$  proton-enhanced pathway and the pH-independent pathway were found to be positive,  $+6.6(\pm 0.7) \text{ cm}^3 \text{ mol}^{-1}$  and  $+10.6(\pm 0.7) \text{ cm}^3 \text{ mol}^{-1}$ , respectively<sup>28</sup>. These activation volumes correspond to a multi-step reaction pathway and cannot be uniquely interpreted to indicate mechanism of exchange, but an obvious question is whether these values are quantitatively similar for the nearly identical  $[\text{NpO}_2(\text{CO}_3)_3]^{4-}$  ion. The addition of apparent activation volumes could couple to new methods of simulation of volume changes during the reaction and advance the field since the simulations would treat structurally very similar molecules<sup>38</sup>.

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